

**Clean Water State Revolving Fund
Intended Use Plan
Base
&
Bipartisan Infrastructure Law Capitalization Grant**

Federal Fiscal Year 2024

Submitted to the



U.S. Environmental Protection Agency

Region IV

By the



Florida Department of Environmental Protection

April 2024

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1. Introduction

The State of Florida's Intended Use Plan (IUP) for the Clean Water State Revolving Fund (CWSRF) is prepared in accordance with the provisions of Title VI of the Clean Water Act of 1987, and the Federal Appropriations Acts. The capitalization grant base allotment from the Consolidated Appropriations Act, 2022 (Pub. L. 117-103) and funding allocated under the Bipartisan Infrastructure Law (BIL) are available.

The Appropriations Act for the FFY 2024 Base Capitalization and Bipartisan Infrastructure Law (BIL) Capitalization Grants includes requirements for "green" projects and for additional subsidization. "Green" projects are described in the U.S. Environmental Protection Agency (EPA) Memorandum entitled "Procedures for Implementing Certain Provisions of the Fiscal Year 2012 Appropriation Affecting the Clean Water and Safe Drinking Water State Revolving Fund Programs".

Florida's CWSRF program is also governed by Chapter 62-503 of the Florida Administrative Code (F.A.C.). The operating agreement between the Florida Department of Environmental Protection (FDEP) and the U.S. EPA further explains program implementation and the responsibilities of the FDEP.

This IUP is a required part of the process to request the Federal capitalization grant, which will be matched with 20 percent in state matching funds for the Base Capitalization Grant and 20 percent for the BIL Supplemental Capitalization Grant. This IUP describes the intended use of all State Revolving Fund (SRF) funds expected to be available to the CWSRF program including federal and state appropriations, recaptured funds, loan repayments, fees, and interest on the SRF cash balances.

Florida's allocation from the federal Fiscal Year (FFY) 2023 base capitalization grant was \$25,320,000 and BIL Supplemental Capitalization Grant (BIL) was \$70,359,000 these funds were deposited in State Fiscal Year (SFY) 2024. A state match of \$5,064,000 for the base and \$7,035,900 for BIL was approved by the Florida Legislature in the SFY 2023 legislative session. The federal FY 2024 Base Capitalization Grant is expected to be at least \$27,557,000 with an additional \$6,765,000 available from the BIL Supplemental Capitalization Grant.

A state match of \$20,756,283 was approved by the Florida Legislature in the SFY 2024 legislative session to cover both grants. The budgeted match has a shortfall of \$108,117. The CWSRF anticipates using the approved budget for matching funds as appropriated in the SFY 2024 legislative session as well as requesting an additional \$108,117 in next year's budget request to cover then required FY 2024 state match. FDEP agrees to deposit into the SRF from state moneys an amount equal to twenty percent of the amount of each grant payment on, or before, the date on which the state receives each payment for the grant award.

Florida's CWSRF program is also governed by Chapter 62-503 & 62-505 of the Florida Administrative Code (F.A.C.). The operating agreement between the Florida Department of Environmental Protection (FDEP) and the U.S. EPA further explains program implementation and the responsibilities of the FDEP.

The capitalization grant funds for the CWSRF Base and BIL Capitalization funding from FFY 2024 will be distributed as outlined by this plan.

FDEP has set its short- and long-term goals of this IUP to align with EPA's strategic goals and objectives FY2022-2026 EPA Strategic Plan, specifically Goal 5, to Ensure Clean and Safe Water for all communities and Objective 2 to Protect and Restore Waterbodies and Watersheds. The Office of Water has identified specific measures that address the strategic goals and objectives outlined by EPA in its strategic plan. A basis for each goal in this program IUP has been identified. These references ensure that all the specific

commitments made by the State are properly correlated to the strategic goals and objectives of the Agency.”

<https://www.epa.gov/system/files/documents/2022-03/fy-2022-2026-epa-strategic-plan.pdf>

2. Program Goals

A. Short Term Goals - Outputs

- A. To provide CWSRF assistance to the extent there are sufficient project applications of the CWSRF BIL and Base Capitalization Grant for equivalency in the amount of the allocations.
- B. To provide subsidization to qualifying projects to the extent required and allowable by the capitalization grant guidelines.
- C. To ensure the projects receiving any Federal funds are in compliance with the Build America Buy America (BABA) Act passed by Congress in 2021, concurrently with the BIL.
- D. To ensure that Davis Bacon Act wage rules apply to all assistance agreements made with funds appropriated under the Base and BIL Supplemental Capitalization Grants.
- E. Assure that SRF funds are used effectively for wastewater treatment and stormwater management projects, non-point source pollution management projects and/or estuary conservation and management systems intended to resolve high priority public health and water quality concerns as well as other regulatory agency concerns.
- F. In accordance with 40 CFR §31.40 and 40 CFR §35.3165, as applicable, provide in the Annual Report or through the online CWSRF Benefits Reporting System, information regarding the achieved environmental results. Results shall include how the CWSRF impacts compliance, water quality, and designated uses.
- G. Assure that all funds are expended in an expeditious and timely manner by executing binding agreements in an amount not less than 120 percent of each Capitalization Grant payment within 1 year of receipt of such capitalization grant payment.
- H. Assure the fiscal, technical, and managerial integrity of the SRF program by preventing waste, fraud, and abuse. Projects will be inspected as necessary to make certain the project is constructed correctly and efficiently.
- I. Expedite project development and construction by encouraging projects to begin construction within one year of placement in the IUP. Projects on the fundable list for construction represent the vast majority of the committed funds and are ready to be submitted for bids. As a result, these projects nearly always begin construction within one year.

B. Long Term Goals - Outcomes

- A. Ensure Clean and Safe Water for All Communities by funding projects that prioritize Reliable Water Infrastructure and Protect and Restore Waterbodies and Watersheds by addressing sources of water pollution and projects that ensure water quality standards are protective of the health and environment (EPA Goal 5).
- B. Leverage the CWSRF funds by partnering with the various state and federal funding programs. By working with all funding sources, the CWSRF can ensure that the borrowers optimize the assistance and stretch the limited funds to provide the maximum environmental benefit possible.

- C. Contribute to statewide compliance with water quality standards. This goal can be facilitated through the planning, design and construction of cost-effective wastewater treatment and stormwater management facilities, non-point source pollution management systems, and estuary conservation and management programs.
- D. Facilitate small and financially disadvantaged community participation in the SRF program. CWSRF staff will continue to work with the domestic waste inspectors to identify projects that are needed to comply with the state and federal requirements. The facilities will be guided through the CWSRF and Small Community Construction Grant Programs to maximize the water quality benefit.
- E. Promote wastewater and stormwater facilities that support orderly and environmentally sound growth, discourage urban sprawl, support sustainable systems and help build or maintain the technical, financial, and managerial capacity of the recipients. Projects that expand collection systems into areas which are not currently developed will be discouraged through the priority system and will only be financed if excess funds are available.

3. Program Changes

To successfully implement the Base Program Capitalization Grant and BIL General Supplemental Grant, no changes to the rules of the program were made.

CWSRF will implement the Build America Buy America Act (BABA) as stated in 41 USC 8301 for projects funded through CWSRF Base and BIL Supplemental Capitalization Grants. BABA is considered a federal cross-cutting requirement that applies to SRF assistance equivalent to the Base and BIL Supplemental Capitalization Grants (i.e., “equivalency” projects). EPA’s SRF regulations at 40 CFR 35.3145 and 35.3575 require states and recipients of SRF funds equivalent to the amount of the capitalization grant to comply with federal cross-cutting requirements. Section 70914 of the IJA, which states when a Buy America preference applies, explains that “none of the funds made available for a Federal financial assistance program for infrastructure may be obligated for a project unless all of the iron, steel, manufactured products, and construction materials used in the project are produced in the United States.” Therefore, BABA only applies to projects funded in an amount equivalent to the federal capitalization grant.

4. SRF Data System

The CWSRF will enter required data into the new EPA data system that has replaced the Clean Water Benefits Reporting (CBR) system and the Clean Water National Information System (CW-NIMS) to track clean water projects and report quarterly to the EPA.

5. Water Resources Reform and Development Act

The Water Resources Reform and Development Act added several requirements for CWSRF loan projects including:

- Davis-Bacon Wage Rates
- American Iron and Steel
- Fiscal Sustainability
- Cost and Effectiveness

- A/E Procurement Requirements

Davis-Bacon and American Iron and Steel had been added in previous appropriations and these amendments have made these requirements permanent.

The Bipartisan Infrastructure Law has added the Build America, Buy America Act requirements for CWSRF loan equivalency projects, this includes:

- All iron and steel used in the project are produced in the United States. This means all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States.
- All manufactured products used in the project are produced in the United States. This means the manufactured product was manufactured in the United States, and the cost of the components of the manufactured product that are mined, produced, or manufactured in the United States is greater than 55 percent of the total cost of all components of the manufactured product, unless another standard for determining the minimum amount of domestic content of the manufactured product has been established under applicable law or regulation.

CWSRF has formed an inspection team focused on timely on-site inspections and monitoring to assure compliance with these requirements by providing deliverables such as notes and checklists outlining the items inspected, staff in attendance and any deviations from the requirements that require addressing.

6. Operating Agreement

The CWSRF shall comply with all of the requirements of the CWSRF Operating Agreement made with EPA amended April 2024, including the assurances contained therein. The Operating Agreement is incorporated by reference.

Assurances and Specific Proposals

The FDEP has provided the necessary assurance and certifications as part of the Operating Agreement between Florida and the U.S. EPA. The Operating Agreement (OA) describes the mutual obligations between U.S. EPA and the FDEP. The purpose of the OA is to provide a framework of procedures to be followed in the management and administration of the CWSRF. The OA has been revised and is currently being routed for signatures.

The OA addresses our commitment to key CWSRF requirements, including:

- 602(a) Environmental Reviews: The CWSRF will conduct environmental reviews according to the State Environmental Review Process developed for the CWSRF.
- 603(b)(3) Binding Commitments: The CWSRF will enter into binding commitments for 120 percent of each quarterly grant payment within one year of receipt of the payment.
- 602(b)(4) Expeditions and Timely Expenditures: The CWSRF will expend all funds in the CWSRF in a timely manner. Note that the OA is currently being revised to address changes to the CWSRF rule and the Clean Water Act.

Federal Requirements for Equivalency Projects

Many federal requirements apply in an amount equal to the capitalization grant. These requirements are:

- Single Audit Act (OMB A-133)
- Disadvantaged Business Enterprise (DBE) compliance
- Federal environmental crosscutters
- Federal Funding Accountability and Transparency Act (FFATA) reporting
- Public Awareness Enhancement (Signage)
- Telecommunications Prohibitions
- Build America, Buy America Act

In addition to meeting the requirements Florida applies to all projects, these projects must also certify compliance with the DBE requirements and must comply with the FFATA requirements for executive compensation reporting. Furthermore, Florida agrees to comply with all Title VI requirements of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, and the Equal Employment Opportunity requirements (Executive Order 11246 as amended) which prohibit activities that are intentionally discriminatory and/or have a discriminatory effect based on race, color, national origin (including limited English proficiency), age, disability, or sex.

7. Project Selection and Methods of Distribution of Funds

States have flexibility to fund a wide variety of projects. Under CWA §606(c)(1), the IUP must include a list of publicly owned treatment works projects on the state's Project Priority List (PPL), developed pursuant to section 216 of the CWA, that are eligible for SRF construction assistance. This list must include: the name of the community; permit number or other applicable enforceable requirement, if available; the type of financial assistance; and the projected amount of eligible assistance.

The IUP must also contain a list of the activities eligible under section 603(c) of the CWA, including the nonpoint source and national estuary protection activities that the state expects to fund from its SRF. The fundable list must contain eligible projects for which the total cost of assistance requested is at least equal to the amount of the grant(s) being applied for.

The IUP must include a priority system for ranking individual projects for funding that provides sufficient detail for the public and EPA to readily understand the criteria used for ranking. The priority for the use of funds should address water quality, the most serious risks to public health, ensure compliance, and assist systems most in need based on the state's affordability criteria and disadvantaged community definition. States should review their SRF priority setting system to ensure they adequately address these priorities.

Florida's Project Priority Ranking System incorporates Basin Management Action Plans (BMAPs) by giving projects listed in BMAPs the second highest priority score, behind projects that eliminate a public health risk. This change will target projects that have been identified by the Department as necessary for a water body to achieve compliance for funding by the CWSRF. Projects that address compliance and enforcement issues will also have a high priority. Bonus points will be added to projects that will assist in the restoration or protection of Outstanding Florida Waters, National Estuaries, Wild/Scenic or

Recreational Areas, or impaired water bodies; projects that eliminate ocean outfalls; and projects that qualify for small community economic hardship status.

Projects are identified by systems through a Request for Inclusion (RFI) submittal process. Once an RFI form is received, the sponsor's project is placed on a comprehensive list of projects.

FDEP project engineers review the form and assign points to projects based on the information provided by the project sponsor. All project sponsors submitting an RFI are contacted, and the program requirements are discussed. Sponsors that complete all readiness requirements are then eligible to compete for funding.

When two or more projects score equally under the project priority system a tie breaking procedure will be used. The project that completes the requirements for funding first will receive priority.

Unreserved funds are assigned to projects in priority score order, within the funding of the Base and BIL Capitalization Grant, until the funds are exhausted. Projects for which funding is not available and projects that are incompletely funded are placed on the waiting list for consideration in future years.

A project must be ready-to-proceed prior to being placed on the fundable portion of the list. If a sponsor fails to execute an assistance agreement in a timely manner it is subject to bypassing at a subsequent priority list hearing.

The fundable project priority list itemizing the output/outcomes of the policies and procedure outlined in this intended use plan for the SFY 2024 funds is included as **Appendix A**.

A. Criteria and Method for Distribution of Funds

Florida's Project Priority Ranking System incorporates Basin Management Action Plans (BMAPs) by giving projects listed in BMAPs the second highest priority score, behind projects that eliminate a public health risk. This change will target projects that have been identified by the Department as necessary for a water body to achieve compliance for funding by the CWSRF. Projects that address compliance and enforcement issues will also have a high priority. Bonus points will be added to projects that will assist in the restoration or protection of Outstanding Florida Waters, National Estuaries, Wild/Scenic or Recreational Areas, or impaired water bodies; projects that eliminate ocean outfalls; and projects that qualify for small community economic hardship status.

B. Types of Projects to be Funded and Financing Rates

Section 212 Projects

- Projects identified as qualifying for the CWSRF under the Clean Water Act Section 212 will have a financing rate determined by an algorithm which incorporates factors of market rate (MR), affordability index (AI) and population (P) according to the formula $MR - 4 + (4 / (1 + (100 / AI)^3)) - 1 / \text{Log}(P)$. The AI contains factors of median household income, unemployment and poverty for the service area affected by the project. For local government sponsors qualifying under Section 212 of the Act, the maximum financing rate is limited to the market rate, and the minimum is 0.2%. The financing rate shall be fixed for the principal amount of a planning, design, or construction loan including any amendments and for the duration of the loan repayment period. A planning loan may be rolled into a design loan, but the financing rate remains fixed. A design loan cannot be rolled into a construction loan. The financing rate on segments and increases

which are continuing from preceding priority lists will be determined by the conditions indicated in each project's binding loan agreement. Florida's revised Rule implementing the CWSRF program provides financial incentives for the construction of green projects and the implementation of asset management plans by reducing the financing rate for projects meeting certain requirements. Financing rates will be reduced 0.1 percent for all costs associated with a project, or a portion of a project, that qualifies as energy efficient, water efficient, green infrastructure or an environmentally innovative project. The financing rate will also be reduced by 0.1 percent for borrowers that document an implemented asset management plan. The financing rate will be reduced by 0.5 for all construction loans to help offset the increase in construction costs resulting from the Davis-Bacon wage rate requirements and as a result of the "American Iron and Steel" and/or Build America, Buy America Act requirements.

Section 319 and 320 Projects

To date, only two projects have been funded under Section 319, which allows funding to go to private systems. The financing rate for these two projects, by rule, is 50 percent of the market rate. There have, however, been a number of projects that have been co-funded with the Department's nonpoint source program.

8. Public Notice and Public Meetings

To ensure interested parties were made aware of the public meeting, notice of the public meeting for the CWSRF Intended Use Plan and Priority List adoption was published in the Florida Administrative Register, Volume 50, Number 85, on April 30, 2024. (**Appendix B**). To ensure the public has an opportunity to review a draft version of the Intended Use Plan for the Base and BIL Capitalization Grants the draft Intended Use Plan was posted on the FDEP website one week prior to May 8th, 2024, public meeting. During the public meeting, CWSRF will present and discuss the draft IUPs. Any comments received during the public meeting will be addressed during the meeting or after, as needed.

Comments for the draft Intended Use Plan and Project Priority Lists are accepted during the public meetings. The comment period remains open until the Final Agency Action is issued.

The meeting provides a forum for discussing the overall purpose, format, and content of the IUP including the types of assistance being provided through the CWSRF project account and the long- and short-term goals of the program, the priority system used to rank individual projects, and the proposed list of projects to receive funding from FFY 2024 funds.

9. Administrative Funds

The maximum *annual* amount of CWSRF money (not including any fees collected that are placed in the fund) that may be used to cover the reasonable costs of administering the fund (i.e., all BIL and base appropriations) is the greatest of the following: an amount equal to 4% of all grant awards to the fund received by a state CWSRF (less any amounts that have been used in previous years to cover administrative expenses) for the fiscal year; \$400,000; or 1/5 percent of the current valuation of the fund. The BIL did not alter these options or the calculation of available administrative funds and verification procedures already in place. **No money will be set aside for administration.**

10. Program Evaluation Report

CWSRF anticipates receiving and responding to Program Evaluation Report (PER) and audit findings for FFY2023 funding in calendar year 2024 during and following the Annual Review/PER.

11. Sources and Use of the Funds

A. Sources of Funds

CWSRF is anticipating funding Federal Fiscal Year (FFY) 2024 projects using the Base and BIL Capitalization Grants. The FFY 2024 Base Capitalization Grant is expected to be at least \$27,557,000 with an additional \$76,765,000 available from the BIL Supplemental Capitalization Grant.

A state match of \$20,756,283 was approved by the Florida Legislature in the SFY 2024 legislative session to cover both grants. The budgeted match has a shortfall of \$108,117. The CWSRF will use the approved budget for matching funds as appropriated in the SFY 2024 legislative session as well as requesting an additional \$108,117 in next year's budget request to cover the required FY 2024 state match.

The CWSRF anticipates using \$5,511,400 or 20% of the estimated Base Capitalization Grant and \$15,353,000 or 20% of the estimated BIL Supplemental Capitalization Grant for matching funds. FDEP agrees to deposit into the SRF from state moneys an amount equal to twenty percent of the amount of each grant payment on, or before, the date on which the state receives each payment for the grant award.

Other sources of funds include loan repayments of principal and interest, interest on SRF fund cash balances, Service Fees, and fund balances carried forward from FFY 2023.

If additional funds become available or de-obligated, the department will distribute them through standard CWSRF methods at the next available Project Priority List meeting held quarterly.

It is not anticipated the source of funds will exceed the use of funds. Should projects not move forward expeditiously CWSRF will utilize the bypass procedure to elevate projects from the waiting list to the fundable list, up to the amount of funds available, to ensure that the Capitalization Grants are utilized expeditiously.

B. Uses of Funds

Available funds will be utilized as follows:

- Up two percent (2%) set-aside from the federal FY 2023 capitalization grants may be used to provide technical assistance for disadvantaged communities. The CWSRF currently has budget authority to access \$1,982,680 of the existing service fee account for contracting with technical assistance providers. The service fee account is sufficient to fund the technical assistance needs at this time.
- The 2% of the Base Cap Grant, or \$506,400 and BIL Supplemental Cap Grant, or \$1,407,180 is not needed at this time, but CWSRF would like to reserve the right to amend this IUP in the future if needs arise during the administration of the requirements of the Bipartisan Infrastructure Law.
- Approximately \$1.5 million in service fee funds will be provided to the Florida Rural Water Association for three wastewater circuit riders, up to two inspectors, and up to three asset management plan developers. The circuit riders provide technical assistance to small and medium wastewater systems to ensure compliance with the rules and regulations associated with

the Clean Water Act. The two inspectors will provide support to the SRF program staff by conducting construction inspections for SRF projects as directed by the program administrator. The asset management plan developers provide support for small wastewater systems that are required by the programs rules to adopt and implement asset management plans, also as directed by the program administrator. Systems receiving loans with principal forgiveness, and loans with an associated state grant are required to adopt and implement an asset management plan. These systems are offered this assistance at no charge through this program. Note that these asset management plans also meet the CWSRF requirements for fiscal sustainability plans.

- Fifteen percent (15%) of the total funds available is reserved for small community projects. See **Appendix A** for projects Florida considers a small community (population of 10,000 or less). These projects currently total \$58,016,317, or 19 percent of the obligated funds.
- The federal FY 2024 Base Capitalization grant authorizes a minimum of 20% and up to 40% in principal forgiveness in loans to qualifying systems. Florida allocates these funds in accordance with Section 403.1838, Florida Statutes, and Chapter 62-505, F.A.C.
- The federal FY 2024 BIL Supplemental Capitalization Grant requires 49% in principal forgiveness for loans to qualifying systems. Florida allocates these funds in accordance with Section 403.1838, Florida Statutes, and Chapter 62-505, F.A.C.
- As shown in **Appendix A**, the CWSRF Program is providing \$35,680,868 in principal forgiveness in state fiscal year 2024 projects for the federal FY 2024 Base and BIL Supplemental Capitalization Grants, which is short of the minimum \$43,126,250 needed to meet the total cap grant requirements. Three projects that have been approved for funding at the next priority list hearing will be used to makeup the difference. Arcadia, Avon Park and Lake Alfred were approved for funding in February 2024. CWSRF has met its budget authority for the fiscal year and is unable to fund these projects in SFY2024. They will be listed at the next priority list meeting in August 2024. In order to meet the 49% requirement for principal forgiveness related to the BIL Capitalization Grant, Arcadia's construction project will be split between the Base and BIL Capitalization Grants principal forgiveness funding.
- The federal FY 2024 Base Capitalization Grant requires a minimum of \$2,755,700 or 10% of the federal FY 2024 Base Capitalization Grant to be reserved for loans for projects that meet Green Project Reserve requirements regardless of the projects' ranking in the priority setting system, to the extent that such projects can be identified as having met the program's readiness-to-proceed requirements and are on the fundable portion of the priority list as adopted at a public meeting. A total of \$5,725,036 was obligated to green projects for the Base Capitalization Grant.
- The federal FY 2024 BIL Capitalization Grant requires a minimum of \$7,676,500 or 10% of the federal FY 2024 BIL Capitalization Grant to be reserved for loans for projects that meet Green Project Reserve (GPR) requirements regardless of the projects' ranking in the priority setting system, to the extent that such projects can be identified as having met the program's readiness-to-proceed requirements and are on the fundable portion of the priority list as adopted at a public meeting. A total of \$12,852,238 was obligated to green projects for the BIL Capitalization Grant.
- When there are more loan projects eligible to receive funding than there are funds available, the maximum yearly funding (the segment cap) assigned to any single local government for loans is, by Rule, twenty-five percent of the unreserved funds. The segment cap for the past fiscal year was \$20 million and the amount for the next fiscal year 2025 will be determined based on funds available and demand for those funds at the first priority list meeting in August of 2024.

The Department has developed detailed schedules for implementing the SRF in FY 2024. This information is summarized in the following attachments:

- **Appendix A** is a summary of Florida’s SFY24 Priority Listings. – Outcomes & Outputs
- **Appendix B** is proof of public notice for presentation of FY24 Intended Use Plan.
- **Appendix C** is sources and uses of available funds
- **Appendix D** is the Request for Inclusion (RFI) to be included on the CWSRF Priority List. The RFI includes details for priority scoring projects.

Summary of Appendix A – Outcomes & Outputs for the FY24 Intended Use Plan

FY2024

Base Capitalization Grant Requirements

Source of Funds	FY2024 Base Cap Grant
Florida Allocation	\$ 27,557,000
Additional Subsidy (20% - 40%)	\$ 5,511,400 to \$11,022,800
Green Project Reserve (10% Min)	\$ 2,755,700

Base Capitalization Grant

Equivalency Projects

	Project Sponsor	CWSRF #	SRF Loan
1	Groveland	35061	\$ 18,800,000
2	Orlando	4804A	\$ 14,000,000
3	Groveland	35061	\$ 1,000,000
4	Bellevue	42076	\$ 10,000,000
Total =			\$ 43,800,000

Base Capitalization Grant

Subsidy Projects

	Project Sponsor	CWSRF #	SRF Loan	PF	GPR
1	Arcadia (Waiting List FY25) *	14017	\$ 6,188,082	\$ 3,016,484	\$ -
2	Avon Park (Planning List FY25)	28043	\$ 1,400,000	\$ 1,260,000	\$ -
3	Lake Alfred (Planning List FY25)	53052	\$ 1,700,000	\$ 1,360,000	\$ -
4	Deerfield Beach	06011	\$ 4,258,210	\$ -	\$ 3,832,389
5	Starke	04020	\$ 2,059,864	\$ -	\$ 1,584,883
6	Starke	04020	\$ 400,000	\$ -	\$ 307,764
Total =			\$ 16,006,156	\$ 5,636,484	\$ 5,725,036

* - Arcadia’s subsidy allotment is split between BIL and Base to meet 49% exactly for BIL Subsidy requirements.

FY2024**BIL Supplemental Capitalization Grant Requirements**

Source of Funds	FY2024 BIL Cap Grant
Florida Allocation	\$ 76,765,000
Additional Subsidy (49%)	\$ 37,614,850
Green Project Reserve (10% Min)	\$ 7,676,500

BIL Supplemental Capitalization Grant Equivalency Projects

	Project Sponsor	CWSRF #	SRF Loan
1	Martin County	43025	\$ 9,760,989
2	Punta Gorda	08032	\$20,000,000
3	Everglades City	11092	\$ 1,000,000
4	Lakeland	53067	\$20,000,000
5	Charlotte County	0802E	\$20,000,000
6	Daytona Beach	6409A	\$18,634,000
7	Daytona Beach	6409B	\$ 1,366,000
8	Gateway Services CDD	3604B	\$ 2,154,412
9	Gateway Services CDD	3604B	\$ 740,000
Total =			\$ 93,655,401

BIL Supplemental Capitalization Grant Subsidy Projects

	Project Sponsor	CWSRF #	SRF Loan	PF	GPR
1	Arcadia (Waiting List FY25) *	14017	\$ 6,188,082	\$ 1,933,982	\$ -
2	Williston	38061	\$ 393,300	\$ 196,650	\$ 393,300
3	Lake Butler	63010	\$ 11,250,000	\$ 9,884,978	\$ 9,000,000
4	Arcadia	14017	\$ 4,611,918	\$ 3,689,534	\$ 3,458,938
5	Blountstown	07012	\$ 9,000,000	\$ 7,200,000	\$ -
6	Belleview	42076	\$ 20,000,000	\$ 9,884,978	\$ -
7	Bushnell	60044	\$ 2,098,800	\$ 1,679,040	\$ -
8	Perry	62027	\$ 300,000	\$ 150,000	\$ -
9	Marianna	32028	\$ 125,000	\$ 62,500	\$ -
10	Fellsmere	31032	\$ 3,666,485	\$ 2,933,188	\$ -
Total =			\$57,633,585	\$37,614,850	\$12,852,238

* - Arcadia's subsidy allotment is split between BIL and Base to meet 49% exactly for BIL Subsidy requirements.

EPA's Strategic Goals**Outputs and Outcomes from projects funded FY2024**

- \$244,113,246 has been committed to rehabilitate, upgrade, and expand public owned treatment works in the state. These projects allow the utilities to achieve and improve the required treatment limits for discharge, preventing excessive nutrient loading in the waters of the state.
- \$22,767,032 has been committed to rehabilitate and upgrade wastewater collection and transmission systems. These projects help prevent sanitary sewer overflows, keeping raw wastewater from directly impacting the surface waters and potentially entering the drinking water system through karst geology in wells under direct influence of surface waters.

- \$12,017,548 has been committed for improvements to stormwater systems to prevent runoff from entering the waters of the state without treatment.
- \$23,477,319 has been committed to convert on-site sewer treatment systems (OSTDS) to central sewer and conveyance to a public owned treatment works for proper treatment. Florida has a large number of OSTDS in areas of high groundwater and susceptible to flooding. Removing these systems will prevent periodic direct discharges and allow increased treatment efficiency at a utility owned and operated treatment plant.

12. Cash Draw

The “Automated Clearing House” payment schedule for FFY 2025 funding identifies the timing of the cash outlays by the Federal government. The anticipated cash draw for the Base Capitalization Grant is for 50% of the grant in the first quarter of FFY 2025 and the remaining 50% in the second quarter. The anticipated cash draw for BIL Supplemental Capitalization Grant is for 25% to be taken in the first quarter of FFY 2025 and 25% drawn quarterly for the remainder of Federal Fiscal Year 2025. Florida will draw the federal capitalization grants only AFTER the required state match has been deposited for both grants, following the below schedule for drawing capitalization grant funding.

Allocation of Funds

Category	Cash Draws for Projects	Cash Draws for Admin
FFY 2024 Base Capitalization Grant	\$ 25,320,000	\$ 0
FFY 2024 BIL Supplemental Capitalization Grant	\$ 70,359,000	\$ 0

Payment Schedule

	Amount	Quarter
FFY 2024 Base Capitalization Grant	\$ 13,778,500	1st Quarter FFY 2025
FFY 2024 BIL Supplemental Capitalization Grant	\$ 19,191,250	1st Quarter FFY 2025
FFY 2024 Base Capitalization Grant	\$13,778,500	2nd Quarter FFY 2025
FFY 2024 BIL Supplemental Capitalization Grant	\$ 19,191,250	2nd Quarter FFY 2025
FFY 2024 BIL Supplemental Capitalization Grant	\$ 19,191,250	3rd Quarter FFY 2025
FFY 2024 BIL Supplemental Capitalization Grant	\$ 19,191,250	4th Quarter FFY 2025

13. Loan Agreements and Binding Commitments

CWSRF will assure that all funds are expended in an expeditious and timely manner, by executing binding agreements in an amount equal to not less than 120 percent of the amount of each FY 2024 Base and BIL Supplemental Capitalization Grants payment within 1 year after the receipt of such capitalization grant payment.

No additional binding commitments are anticipated with the FY 2024 Base and BIL Supplemental Capitalization Grants.

14. Assistance, Terms, and Fees

The assistance to be provided by both the Base Program General Capitalization Grant and the BIL General Supplemental Capitalization Grant is loan agreements with subsidization for planning, design, and construction. The funding will be provided as a planning, design, planning & design, or construction loan agreement.

The term of planning and design loan agreements is 10 years, and a construction loan agreement is 20 years, with an option for 30 years for unique circumstances.

Each loan agreement has a minimum 0.2% interest rate. The interest rate for loan agreements is based on the Bond Buyer 20 GO Index for the prior quarter and discounted based on median household income. Additional discounts are given for implementation of an Asset Management Plan, American Iron & Steel and Davis-Bacon, and the Build America Buy America Act.

A loan service fee, currently 2%, of the total loan amount less the portion of the loan for capitalized interest and is not included in the principal of the loan is assessed on each loan agreement.

15. Transfer of Funds

CWSRF does not anticipate a need to transfer funds to Drinking Water State Revolving Fund (DWSRF); however; CWSRF does reserve the right to in the future as needed.

16. Cross-Collateralization

CWSRF funds will not be used for debt security. There is no cross-collateralization of programs.

17. Green Project Reserve

The State agrees that the funds provided by the Base and BIL Supplemental Capitalization Grants may, at the discretion of the State, be used for projects to address green infrastructure, water or energy efficiency improvements, or other environmentally innovative activities.

18. Equivalency Projects

As no state match is required, all projects listed on the project priority list are equivalency projects and subject to crosscutter review, Federal Funding Accountability and Transparency Act (FFATA), minority business enterprise (MBE) and BABA, requirements.

CWSRF will implement the Build America Buy America Act (BABA) as stated in 41 USC 8301 for projects funded through CWSRF Base and BIL Supplemental Capitalization Grants. BABA is considered a federal cross-cutting requirement that applies to SRF assistance equivalent to the Base and BIL Supplemental Capitalization Grants (i.e., "equivalency" projects). EPA's SRF regulations at 40 CFR 35.3145 and 35.3575 require states and recipients of SRF funds equivalent to the amount of the capitalization grant to comply with federal cross-cutting requirements. Section 70914 of the IJA, which states when a Buy America preference applies, explains that "none of the funds made available for a Federal financial assistance program for infrastructure may be obligated for a project unless all of the iron, steel, manufactured products, and construction materials used in the project are produced in the United States." Therefore, BABA only applies to projects funded in an amount equivalent to the federal capitalization grant.

19. Bypass Procedure

A project must be ready-to-proceed prior to being placed on the fundable portion of the list. If a sponsor fails to execute an assistance agreement in a timely manner it is subject to bypassing at a subsequent priority list hearing.

20. Amending Priority List

CWSRF will continuously amend the priority list through the course of the year. CWSRF anticipates amending the priority list on no less than a quarterly basis. Amending the priority list will be conducted through public noticing and public meetings. As more RFIs are submitted, readjustment of funding may be conducted. Should projects not move forward expeditiously CWSRF will utilize the bypass procedure to elevate projects from the waiting list to the fundable list, up to the amount of funds available, to ensure that the Base and BIL Supplemental Capitalization Grants are utilized expeditiously.

21. Disadvantaged Communities

Disadvantaged Communities and Sustainability Policy

Projects meeting the eligibility criteria for Florida’s Small Community Wastewater Construction Grants Program (SCWCGP), Chapter 62-505, F.A.C., will be designated as recipients of additional subsidies to be provided as principal forgiveness, pursuant to the “Special Provisions” of the Appropriations Act. To qualify, the project sponsor must be a municipality with a population of 10,000 or less with a per capita income less than the state per capita income. The percentage of the loan forgiven will be based on the weighted average of priority categories and the affordability index of the project sponsor. Projects that have the same final priority score shall be further ranked by the project’s affordability index, where projects with a lower affordability index will be ranked above those projects with a higher affordability index. Each qualifying project shall be limited to no more than 25% of the available subsidy funds for the fiscal year. Once the available subsidy has been established, funding is assigned to projects moving down the priority list until all the available funding is exhausted.

Projects that have the same priority score shall be further ranked by the project’s affordability index, where projects with a lower affordability index will be ranked above those projects with a higher affordability index.

Phase	Affordability Index	Subsidy Percentage
Planning	N/A	50%
Design	< 65	90%
	65 - 100	80%
	> 100	70%
Construction	< 65	90%
	65 - 100	80%
	> 100	70%

- Planning percentage shall be 50%.

- Design percentage. The base percentage shall be 70%. If the affordability index associated with the project is less than 65, then an additional 20% is added to the base percentage. If the affordability index associated with the project is greater than or equal to 65 but less than 100, then an additional 10% is added to the base percentage.

- Construction percentage. The base percentage shall be 70%. If the affordability index associated with the project is less than 65, then an additional 20% is added to the base percentage. If the affordability index associated with the project is greater than or equal to 65 but less than 100, then an additional 10% is added to the base percentage.

All of the aforementioned grant percentages in this paragraph are predicated upon the project sponsor adopting and implementing an asset management plan that meets all of the requirements in subsection 62-503.700(7), F.A.C. Failure to adopt and implement such an asset management plan prior to the final disbursement of the associated State Revolving Fund construction loan will reduce the construction grant percentage to 0%.

As stated above, the CWSRF rule incentivizes sponsors to develop and implement asset management plans. For small systems, the CWSRF has provided funding for the Florida Rural Water Association to establish a program for the sole purpose to develop fiscal sustainability plans, with sufficient detail to meet the asset management plan criteria for systems that otherwise would be unable to develop this plan. Among other benefits, these plans provide small-disadvantaged communities a tool for operating and maintaining their current system properly while planning for capital improvement needs. Thus far these plans have identified approximately \$300,000,000 in needs for Small Disadvantaged Communities. Financing rates on CWSRF loans for project sponsors that document an implemented asset management plan will receive a 0.1 percent reduction in the financing rate. The development of these plans will also be specifically included as eligible project costs.

22. Annual Report

An annual report will be submitted by 9/30/2025 that will quantify the results of Capitalization Grants awarded for FY2024.

APPENDIX A
FY2024 – PROJECT PRIORITY LIST

FLORIDA DEPARTMENT OF ENVIRONMENTAL PROTECTION
WATER POLLUTION CONTROL SRF PRIORITY LIST FY 2024

BASE Cap Grant
BIL Cap Grant

ADOPTED
FOR:02/14/2024

TIER	PRIORITY SCORE	APPLICANT/ PROJECT NBR	PROJECT TYPE	PROJECT DESCRIPTION	ADOPTION DATE	APPLICATION DEADLINE	AGREEMENT DEADLINE	AUTHORIZED LOAN AMT	PRINCIPAL FORGIVENESS AMT	SCWFG AMT	AMOUNT TO BE REPAID	AWARDED FUNDS	UNAWARDED FUNDS	POPULATION
Tier1	514	American Beach Water & Sewer District* 45050	WW/Con	Collection & Transmission (Septic to Sewer)	8/9/2023	12/7/2023	3/6/2024	\$500,000	\$0	\$0	\$500,000	\$0	\$500,000	350
Tier1	495	Hollywood 0604C	WW/Con	Treatment (DIW Pump Station & RO Effluent Disposal)	8/9/2023	12/7/2023	3/6/2024	\$5,275,719	\$0	\$0	\$5,275,719	\$0	\$5,275,719	153,067
Tier1	480	Martin County 43025	WW/Con	Collection & Transmission (Septic to Sewer)	8/9/2023	12/7/2023	3/6/2024	\$9,760,989	\$0	\$0	\$9,760,989	\$0	\$9,760,989	158,431
Tier1	415	Miami-Dade Water and Sewer Authority 1302A	WW/Con	Treatment (Deep Injection Wells)	8/9/2023	12/7/2023	3/6/2024	\$20,000,000	\$0	\$0	\$20,000,000	\$0	\$20,000,000	2,700,000
Tier1	400	Deerfield Beach 06011	WW/Con(D-B)	Collection & Transmission (Reclaimed Water Forcemain)	8/9/2023	12/7/2023	3/6/2024	\$4,258,210	\$0	\$0	\$4,258,210	\$0	\$4,258,210	86,859
Tier1	400	Punta Gorda 08032	WW/Con	Treatment (Treatment Plant Upgrade)	8/9/2023	12/7/2023	3/6/2024	\$20,000,000	\$0	\$0	\$20,000,000	\$0	\$20,000,000	19,471
Tier1	387	Starke* 04020	WW/Con	Collection System Rehab (Inflow/Infiltration and new Transmission)	2/14/2024	6/13/2024	9/11/2024	\$2,059,864	\$0	\$0	\$2,059,864	\$0	\$2,059,864	5,864
Tier1	386	Bushnell* 60045	WW/Con	Treatment (Rehab Aeration Basin Tank)	11/8/2023	3/7/2024	6/5/2024	\$550,000	\$0	\$0	\$550,000	\$0	\$550,000	3,141
Tier1	385	Everglades City* 11092	WW/Con	WWTP Improvements (Wastewater Treatment Facility Replacement)	8/9/2023	12/7/2023	3/6/2024	\$1,000,000	\$0	\$0	\$1,000,000	\$0	\$1,000,000	352
Tier1	375	Mary Esther* 46020	WW/Con	Collection & Transmission System Rehabilitation	8/9/2023	12/7/2023	3/6/2024	\$2,060,950	\$0	\$0	\$2,060,950	\$0	\$2,060,950	4,309
Tier1	350	Lakeland 53067	WW/Con	Treatment (Chlorine Contact & Effluent PS)	8/9/2023	12/7/2023	3/6/2024	\$20,000,000	\$0	\$0	\$20,000,000	\$0	\$20,000,000	115,425
Tier1	166.4	Orlando 4804A	WW/DB	Treatment (Rehab and Expansion of Conservl WWTP)	8/9/2023	12/7/2023	3/6/2024	\$14,000,000	\$0	\$0	\$14,000,000	\$0	\$14,000,000	307,573
Tier1	100	Charlotte County 0802E	WW/Con	Treatment (Treatment Plant Upgrade)	8/9/2023	12/7/2023	3/6/2024	\$20,000,000	\$0	\$0	\$20,000,000	\$0	\$20,000,000	186,847
Tier1	100	Daytona Beach 6409A	WW/Con(D-B)	Treatment (Influent PS & Headworks)	8/9/2023	12/7/2023	3/6/2024	\$18,634,000	\$0	\$0	\$18,634,000	\$0	\$18,634,000	72,647
Tier2	551	Citrus County 09010	WW/Con	Collection & Transmission (Septic to Sewer)	2/14/2024	6/13/2024	9/11/2024	\$4,000,000	\$0	\$0	\$4,000,000	\$0	\$4,000,000	162,529
Tier2	543	Arcadia* 14017	WW/Con	Collection System Rehab (Inflow/Infiltration and new Transmission)	2/14/2024	6/13/2024	9/11/2024	\$4,611,918	\$3,689,534	\$0	\$922,384	\$0	\$4,611,918	7,692
Tier2	450	DeLand 64112	WW/Con	Treatment (WWTF Upgrades and Expansion)	8/9/2023	12/7/2023	3/6/2024	\$20,000,000	\$0	\$0	\$20,000,000	\$0	\$20,000,000	66,484
Tier2	386	Blountstown* 07012	WW/Con	Treatment (WWTF Upgrades & Effluent Disposal)	11/8/2023	3/7/2024	6/5/2024	\$9,000,000	\$7,200,000	\$0	\$1,800,000	\$0	\$9,000,000	2,255
Tier2	355	Key Biscayne 13160	SW/Des	Stormwater Improvements (Collection and Treatment Expansion)	8/9/2023	12/7/2023	3/6/2024	\$3,492,980	\$0	\$0	\$3,492,980	\$0	\$3,492,980	14,487
Tier2	355	Oakland Park 06141	SW/Plan	Stormwater Improvements (Collection and Treatment)	8/9/2023	12/7/2023	3/6/2024	\$129,780	\$0	\$0	\$129,780	\$0	\$129,780	43,824
Tier2	354	Belleview* 42076	WW/Con	Treatment (WWTF Upgrades and Expansion)	8/9/2023	12/7/2023	3/6/2024	\$20,000,000	\$9,884,978	\$0	\$10,115,022	\$0	\$20,000,000	8,483
Tier2	354	Lake Butler* 63010	WW/Con	Inflow and Infiltration Correction (Wastewater System Rehab Phase 1A)	8/9/2023	12/7/2023	3/6/2024	\$11,250,000	\$9,884,978	\$0	\$1,365,022	\$0	\$11,250,000	1,813
Tier2	352	Starke* 04023	WW/Plan	Collection System Rehab (Inflow/Infiltration and new Transmission)	8/9/2023	12/7/2023	3/6/2024	\$400,000	\$0	\$0	\$400,000	\$0	\$400,000	5,796
Tier2	351	Williston* 38061	WW/Plan	Collection System Rehab (Inflow/Infiltration and new Transmission)	11/8/2023	3/7/2024	6/5/2024	\$393,300	\$196,650	\$0	\$196,650	\$0	\$393,300	3,089
Tier2	351	Bushnell* 60044	WW/Des	Treatment & Collection (WWTF Upgrades and PS Rehab)	8/9/2023	12/7/2023	3/6/2024	\$2,098,800	\$1,679,040	\$0	\$419,760	\$0	\$2,098,800	3,047
Tier2	350	Venice 58047	WW/Con	Collection & Transmission (System Expansion)	11/8/2023	3/7/2024	6/5/2024	\$5,549,845	\$0	\$0	\$5,549,845	\$0	\$5,549,845	27,272
Tier2	350	Perry* 62027	WW/Plan	Collection System Rehab (Inflow/Infiltration and new Transmission)	8/9/2023	12/7/2023	3/6/2024	\$300,000	\$150,000	\$0	\$150,000	\$0	\$300,000	6,970
Tier2	350	Marianna* 32028	WW/Plan	Treatment & Collection (WWTF Upgrades and I&I Correction)	8/9/2023	12/7/2023	3/6/2024	\$125,000	\$62,500	\$0	\$62,500	\$0	\$125,000	6,305
Tier2	350	Lee County Utilities 3602B	WW/Con(D-B)	Treatment (WWTF Upgrades and Expansion)	8/9/2023	12/7/2023	3/6/2024	\$20,000,000	\$0	\$0	\$20,000,000	\$0	\$20,000,000	168,114
Tier3	340	Groveland 35065	WW/Plan	Collection System Rehab (Inflow/Infiltration and new Transmission)	8/9/2023	12/7/2023	3/6/2024	\$200,000	\$0	\$0	\$200,000	\$0	\$200,000	18,505
Tier3	303	Bradenton 4103A	WW/Con	Treatment & Collection (WWTF Upgrades and I&I Correction)	8/9/2023	12/7/2023	3/6/2024	\$20,000,000	\$0	\$0	\$20,000,000	\$0	\$20,000,000	59,439
Tier3	211	Fellsmere* 31032	WW/Con	Collection & Transmission (System Expansion)	11/8/2023	3/7/2024	6/5/2024	\$3,666,485	\$2,933,188	\$0	\$733,297	\$0	\$3,666,485	4,955
Tier3	200	Groveland 35061	WW/Con	Treatment (WWTF Upgrades and Expansion)	8/9/2023	12/7/2023	3/6/2024	\$18,800,000	\$0	\$0	\$18,800,000	\$0	\$18,800,000	18,505
Tier3	200	Groveland 35061	WW/Con	Treatment (WWTF Upgrades and Expansion)	2/14/2024	6/13/2024	9/11/2024	\$1,000,000	\$0	\$0	\$1,000,000	\$0	\$1,000,000	18,505
Tier3	100	Orlando 4804D	SW/Con	Stormwater Improvements (Collection and Treatment)	11/8/2023	3/7/2024	6/5/2024	\$4,387,636	\$0	\$0	\$4,387,636	\$0	\$4,387,636	316,081

Tier3	100	Winter Garden 48010	WW/Con	Treatment (WWTF Upgrades and Expansion)	11/8/2023	3/7/2024	6/5/2024	\$5,873,757	\$0	\$0	\$5,873,757	\$0	\$5,873,757	47,049
Tier3	100	Pompano Beach 0624B	SW/Con	Stormwater Improvements (Collection and Treatment)	11/8/2023	3/7/2024	6/5/2024	\$4,735,500	\$0	\$0	\$4,735,500	\$0	\$4,735,500	112,302
Tier3	100	Gateway Services Community Development District 3604B	SW/Con	Stormwater Improvements (Lake Bank Restoration - Phase III)	8/9/2023	12/7/2023	3/6/2024	\$2,154,412	\$0	\$0	\$2,154,412	\$0	\$2,154,412	15,000
Tier3	100	Gateway Services Community Development District 3604B	SW/Des	Stormwater Improvements (Lake Bank Restoration)	8/9/2023	12/7/2023	3/6/2024	\$740,000	\$0	\$0	\$740,000	\$0	\$740,000	15,000
Tier3	100	Daytona Beach 6409B	WW/Con(D-B)	Collection & Transmission (Master Lift Station Replacement)	8/9/2023	12/7/2023	3/6/2024	\$1,366,000	\$0	\$0	\$1,366,000	\$0	\$1,366,000	72,647
TOTAL AWARDED NEW PROJECTS:								\$302,375,145	\$35,680,868	\$0	\$266,694,278	\$0	\$302,375,145	
										Carryover Projects SFY 2023 to 2024 =		\$16,179,412		
										Small Community Funding SFY 2024 =		\$58,016,317		

APPENDIX B
NOTICE OF MAY 8TH, 2024, PUBLIC MEETING

APPENDIX C
SOURCES AND USES OF FUNDS

**APPENDIX C
SOURCES AND USES OF FUNDS**

	<u>SFY 2024</u>
<u>SOURCE OF FUNDS</u>	
FEDERAL FUNDS	
FY 2023 SRF Capitalization Grant for Projects	\$ 25,320,000
FY 2023 SRF Capitalization Grant for Projects - BIL	\$ 70,359,000
STATE FUNDS	
State Matching Funds for FY 2023 Capitalization Grant (20%)	\$ 5,064,000
State Matching Funds for FY 2023 Capitalization Grant (10%) - BIL	\$ 7,035,900
CWSRF State Bank Balance	\$ 443,250,669
CWSRF Bond Bank Balance	\$ 195,971,928
CWSRF Interest	\$ 6,568,513
Projected Net Loan Repayments to State Bank (7/1/2023 - 6/30/2024)	\$ 104,006,790
Projected Net Loan Repayments to Bond Bank (7/1/2023 - 6/30/2024)	\$ 64,944,497
Decreases (7/1/2023 - 6/30/2024)	\$ 20,079,197
TOTAL FUNDS AVAILABLE	\$ 942,600,494
<u>USE OF FUNDS</u>	
Fundable SFY 2023 Carryover Projects	\$ 81,362,162
Increases and New Projects on SFY 2024 Priority List (Loan)*	\$266,694,277
Increases and New Projects on SFY 2024 Priority List (Subsidy)**	\$35,680,868
Increases and New Projects on SFY 2024 Priority List (Total)	\$302,375,145
CWSRF State Bank Encumbered	\$ 417,891,259
CWSRF Bond Bank Encumbered	\$ 140,971,928
TOTAL FUNDS OBLIGATED	\$ 942,600,494

BALANCE OF LOAN FUNDS for PROJECTS = \$ (0)

* - Includes \$20,000,000 segment cap

** - Includes \$9,884,978 subsidy cap

APPENDIX D
CLEAN WATER REQUEST FOR INCLUSION
WITH PRIORITY SCORING



Florida Department of Environmental Protection

REQUEST FOR INCLUSION ON THE CLEAN WATER PRIORITY LIST

Clean Water State Revolving Fund Program
3900 Commonwealth Blvd., MS 3505, Tallahassee, FL 32399-3000

Process to receive a State Revolving Fund (SRF) Loan. This Request for Inclusion (RFI) form, Form RFI 1 per subsection 62-503.200(33), F.A.C., lets us know that you are interested in obtaining an SRF loan. Each RFI will be assigned a project engineer to assist you throughout the SRF funding process. The information contained in the RFI is used to determine a priority score for your project; and the priority score is used to rank projects on the SRF priority list. Only projects ranked on the fundable portion of the priority list will receive consideration for a loan. Your project engineer will assist you in understanding all program requirements necessary before you are asked to submit a loan application, Form Application 1 or Form Application 2 per paragraph 62-503.430(1)(a), F.A.C. Please note that costs incurred before the adoption of the project on the fundable or waiting portion of the priority list are ineligible for reimbursement.

Type of Loan Requested in this Application. Select only one loan category and project type.

Loan Category: Planning Design Inflow/Infiltration Rehabilitation Construction

Project Type: Design/Bid/Build Design/Build (D/B) Construction Manager at Risk (CMR)

Note: Procurement of professional services must meet the requirements of the Consultants' Competitive Negotiation Act, Section 287.055, F.S.

1. Applicant's Name and Address.

Project Sponsor: _____ Contact Person: _____ Title: _____

(street address) (city) (county) (state) (zip code)

(telephone) (ext.) (email address)

Contact Person Address (if different): _____
(street address) (city) (state) (zip code)

2. Name and Address of Applicant's Consultant (if any).

Firm: _____ Contact Person: _____ Title: _____

(street address) (city) (county) (state) (zip code)

(telephone) (ext.) (email address)

3. Certification by Authorized Representative.

I certify that this form and attachments have been completed by me or at my direction and that the information presented herein is, to the best of my knowledge, accurate.

(email address) (date)

(name, typed) (title)

(signature)

REQUEST FOR INCLUSION ON THE CLEAN WATER PRIORITY LIST

4. Eligible Projects.

- a. Stormwater management facilities, such as detention/retention facilities, treatment facilities, etc. sponsored by a local government (eligible under Section 212 of the amended Clean Water Act).
- b. Wastewater management facilities, such as sewers, pump stations, treatment plants, reuse facilities, sludge facilities, etc. sponsored by a local government (eligible under Section 212 of the amended Clean Water Act).
- c. Nonpoint source pollution control best management practices for agriculture, silviculture, on-site treatment and disposal, wetlands, mining, marinas, brownfields or groundwater protection sponsored by any entity (eligible under Section 319 or 320 of the amended Clean Water Act).

5. Project Information (Please attach).

- a. Describe the project, its location, the scope, why it's needed and the environmental benefit.
- b. Attach maps showing system boundaries, existing and proposed service area, and project area.

6. Estimated Costs (Clean Water Act Section 212, 319, and 320).

- a. Planning and/or SSES including geotechnical studies and surveying _____
- b. Design _____
- c. Special Studies including feasibility studies _____
- d. Eligible Land (necessary land divided by total land times purchase price) _____
- e. Construction, Equipment, Materials, Demolition and Related Procurement _____
- f. Construction Contingency (10% of Item e) _____
- g. Technical Services during Construction _____
- h. Sum of Items a. through g. _____

7. Project Schedule.

(Month and Year)

- a. Submit the planning or SSES documentation _____
- b. Submit the design documents, obtain permits, and acquire sites (as necessary) _____
- c. Start activity (such as construction or non-structural best management practice) _____
- d. Complete activity (such as construction or non-structural best management practice) _____

8. Population

- a. Population served by the system _____
- b. Population to be served by the project _____

9. Project Priority

- a. Baseline Priority Categorization.

In the Table below, identify each of the project components for which the project qualifies and provide the component's construction cost. The baseline priority score (BPS) will be determined by prorating each component. The project sponsor must provide documentation that supports the selection of a base priority score of 350 points or greater.

REQUEST FOR INCLUSION ON THE CLEAN WATER PRIORITY LIST

<u>Project Component</u>	<u>Priority Points</u>	<u>Component Construction Cost</u>
1. Eliminate a documented acute or chronic public health hazard. Examples include elimination of failing septic tanks, failing package plants, or elimination of sanitary sewer overflows.	500 points	_____
2. Implement a project included in, or to be implemented as a direct result of, an adopted Basin Management Action Plan or a Reasonable Assurance Plan approved pursuant to section 403.067, F.S.	450 points	_____
3a. Protect surface or ground water by preventing or reducing a documented source of pollution, pollution reductions necessary to meet regulatory requirements; or		
3b. Projects or activities by local governments or on-site system management entities, under section 319 of the Act, that correct septic tank failures in springsheds of first magnitude springs; or correct septic tank contributions to nutrient impaired spring systems.	400 points	_____
4. Address a compliance problem documented in an enforcement action where the Department has issued a notice of violation or entered a consent order with the project sponsor.	375 points	_____
5. Meet the criteria for a Green Project; correct excessive inflow/infiltration or other issues within the collection and transmission system that cause sanitary sewer overflows; scheduled rehabilitation; replacement; repair described in an approved asset management plan; or reuse that replaces an existing or proposed demand on a water supply.	350 points	_____
6. Planning and design loans; projects for the installation of wastewater transmission facilities to be constructed concurrently with other construction projects occurring within or along a transportation facility right-of-way; or for rehabilitation, replacement or repair not included in an approved asset management plan.	340 points	_____
7. Projects that construct other reclaimed water systems or residuals reuse systems that do not meet the criteria of component 5. above.	300 points	_____
8. Ensure compliance with other enforceable standards or requirements.	200 points	_____
9. Timely submitted projects that otherwise meet the requirements of the Act (including land or wastewater system acquisition projects).	100 points	_____

b. Restoration and Protection of Special Water Bodies.

In order to qualify for a base score multiplier, identify which of the water bodies listed below that the project will assist in restoring or protecting; and reference the location in existing documentation where substantiating information may be found or attach other such substantiating information. If none are selected, the multiplier equals 1.0. If one or more are selected, the multiplier is 1.2. Supporting documentation must be provided for items selected.

- 1. A priority water body identified in an adopted Surface Water Improvement and Management (SWIM) Plan.
- 2. A water body classified as Outstanding Florida Waters or Wild and Scenic Rivers.

c. Projects that document any of the following shall have bonus points added to the priority score after the adjustment under paragraph (b) above, as indicated. Items 3, 4 and 5 below are only applicable to financially disadvantaged small communities.

- 1. Elimination of Ocean Outfalls. 15 points
- 2. Consistency with an Integrated Water Resource Management (One Water) plan. 15 points
- 3. Population of 10,000 or less as of most recent decennial census, and affordability index less than or equal to 100. _____ points.
- 1000 divided by the affordability Index = _____ points.
- 4. Negative population trend as defined in 62-505.300(2)(c)2, F.A.C. 25 points
- 5. End of useful life as defined in 62-505.300(2)(c)3., F.A.C. 25 points

Return the completed form to the State Revolving Fund Program, 3900 Commonwealth Blvd., MS 3505, Tallahassee, Florida, 32399-3000. The form may be scanned and emailed to SRFRFI@FloridaDEP.gov.