



Inspection or Compliance Review conducted

In Compliance?

Yes

Issue In Compliance - Closure Letter with inspection report, denoting any corrective actions completed. No further actions.

No

Are there significant imminent threats warranting immediate correspondence?

Yes

Immediately Issue warranted correspondence with concurrence of District or Division Director

Facilitate immediate corrective action to limit the threat

Follow up with concurrent enforcement and peer review process

No

Implement the enforcement hierarchy, refer to OGC Manual Program Guidelines, Directive 923 and discuss with supervisor. Should the case be resolved without formal enforcement?

Yes

Were Deficiencies corrected timely through verbal Compliance Assistance?

Yes

Yes

No

Issue report with Compliance Assistance Offer Letter

Yes, continue monitoring progress

Did facility correct deficiencies and respond as required? (Follow-up inspection, if needed)

No

Is facility responsive to letters, phone calls, etc., and sufficiently following-up as needed?

No

Does the proposed course of action for resolution meet Division Peer Review Threshold for review?

yes

Initiate Peer Review Process with Division (include Assistant Deputy Secretary if penalties >\$10k.) Coordinate feedback received.

Proceed with formal enforcement per the direction of the Assistant Director or Director

Issue Warning Letter, CO, NOV, etc., using OGC Enforcement Manual Guidelines

No